



Section 1 of 3 2/6/03  
PTO/SB/21 (05-03)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/079,927
	Filing Date	February 19, 2002
	First Named Inventor	George, Colleen
	Art Unit	2161
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	020375-003300US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement with 5857 adf references  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Postcard
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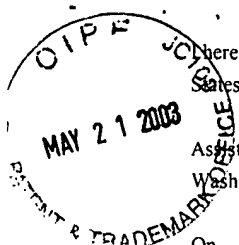
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Date	May 9, 2003

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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On

*May 9, 2003*

TOWNSEND and TOWNSEND and CREW LLP

By:

*A. Frederick*

PATENT  
Attorney Docket No.: 020375-003300US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Colleen George et al.

Application No.: 10/079,927

Filed: February 19, 2002

For: SYSTEMS AND METHODS FOR  
OPERATING LOYALTY PROGRAMS

Examiner:

Art Unit: 2161

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

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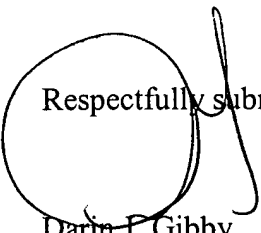
The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references are cited are, or are considered to be material to patentability because they are in this statement. No inference

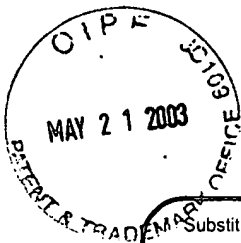
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Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the mailing of the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Darin J. Gibby  
Reg. No. 38,464

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **1** of **2**

### Complete if Known

Application Number	10/079,927
Filing Date	February 19, 2002
First Named Inventor	George, Colleen, et. al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	020375-003300US

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-4,941,090	07-10-1990	McCarthy, Patrick D.	
	A2	US-5,056,019	10-08-1991	Schultz and Irion	
	A3	US-5,117,355	05-26-1993	McCarthy, Patrick D.	
	A4	US-5,185,695	02-09-1993	Pruchnicki, Michael A.	
	A5	US-5,200,889	04-06-1993	Mori, Kunio	
	A6	US-5,202,826	04-13-1993	McCarthy, Patrick D.	
	A7	US-5,305,196	04-19-1994	Deaton, et al.	
	A8	US-5,448,471	09-05-1995	Deaton, et al.	
	A9	US-5,471,669	11-28-1995	Kidman, David G.	
	A10	US-5,483,444	01-09-1996	Heintzeman, et al.	
	A11	US-5,612,868	03-18-1997	Off, et al.	
	A12	US-5,642,485	06-24-1997	Deaton, et al.	
	A13	US-5,644,723	07-01-1997	Deaton, et al.	
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	A17	US-5,689,100	11-18-1997	Carrithers, et al.	
	A18	US-5,804,806	08-08-1998	Haddad, et al.	
	A19	US-5,806,045	08-08-1998	Biorge, et al.	
	A20	RE-36,116	02-23-1999	McCarthy, Patrick D.	
	A21	US-5,924,080	07-13-1999	Johnson, Glen Douglas	
	A22	US-5,926,795	07-20-1999	William, Eric	
	A23	US-6,000,608	12-14-1999	Dorf	
	A24	US-6,012,635	11-11-2000	Shimada, et al.	
	A25	US-6,138,911	10-31-2000	Fredregill, et al.	
	A26	US-6,189,787 B1	02-20-2001	Dorf, Robert E.	
	A27	US-6,195,644 B1	02-27-2001	Bowie, Stuart S.	
	A28	US-6,292,786 B1	08-18-2001	Deaton, et al.	
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	A30	US-6,321,210 B1	11-20-2001	O'Brein et al.	
	A31	US-2001/0054003 A1	12-20-2001	Chien, et al.	
	A32	US-6,334,108	12/25/2001	Deaton, et al.	
	A33	US-6,351,735	02-26-2002	Deaton, et al.	
	A34	US-2002/0046116 A1	04-18-2002	Hohle, et al.	
	A35	US-6,377,935	04/23/2002	Deaton, et al.	
	A36	US-2002/0065716 A1	05-30-2002	Kushchill, James E.	

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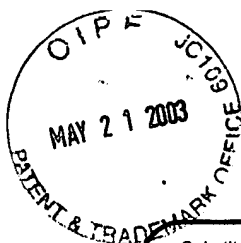
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2**

of

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### Complete if Known

Application Number	10/079,927
Filing Date	February 19, 2002
First Named Inventor	George, Colleen, et. al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	020375-003300US

### U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A37	US-2002/0065712 A1	05-30-2002	Kawan, Joseph C.	
	A38	US-6,409,080 B2	06-28-2002	Kawagishi, Toshiyuki	
	A39	US-2002/0082920 A1	06-27-2002	Austin, et al.	
	A40	US-6,419,161 B1	07-16-2002	Haddad, et al.	
	A41	US-6,424,949	07-23-2002	Deaton, et al.	
	A42	US-2002/0099601 A1	07-25-2002	Farrell, Mark	
	A43	US-2002/0107797 A1	08-08-2002	Combaluzier, Pierre Michel	
	A44	US-2002/0112236 A1	08-15-2002	Sukeda, et al.	
	A45	US-2002/0120514 A1	08-29-2002	Hagmeier, et al.	
	A46	US-2002/0123926 A1	09-05-2002	Bushold, et al.	
	A47	US-6,450,407 B1	09-17-2002	Freeman, et al.	
	A48	US-6,460,019 B1	10-01-2002	Walker, et al.	
	A49	US-2002/0143626 A1	10-03-2002	Voltmer, et al.	

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### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	EP	0,253,240	A1	01-20-1988	Omron Tateisi Electronics Co.		<input type="checkbox"/>
	B2	PCT	WO 93/08546	A1	04-29-1993	Bonavita Cola		<input type="checkbox"/>
	B3	GB	2,274,349	A	07-20-1994	HTEC Limited		<input type="checkbox"/>
	B4	EP	0,933,717	A2	08-04-1999	Citicorp Development Center, Inc.		<input type="checkbox"/>
	B5	EP	1,011,060	A1	06-21-2000	Brain S.r.l.		
	B6	EP	0,933,717	A3	02-21-2001	Citicorp Development Center, Inc.		
	B7	EP	1,139,252	A2	10-04-2001	Kabushiki Kaisha Toshiba		<input type="checkbox"/>
	B8	EP	1,232,776	A2	08-21-2002	Hitachi, Ltd.		<input type="checkbox"/>

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Please stamp and date of receipt of the following document(s)

RE: George, et al.  
Systems and Methods for Operating Loyalty Programs

**TITLE OF DOCUMENT(S):**

Information Disclosure Statement  
Form PTO/SB/08A

57 references  
Transmittal

Application No. 10/079,927  
File No. 020375-003300US  
Date Due \_\_\_\_\_  
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